

1. Record Nr.	UNISA996209680703316
Titolo	China Stock Market Handbook: (and Hang Kong Stock Market
Pubbl/distr/stampa	[Place of publication not identified], : Business Publications Imprint, 2008
ISBN	1-281-28407-6 9786611284077
Descrizione fisica	1 online resource (1 v. (unpaged) ;) : ill
Disciplina	332.6730951
Soggetti	Stock exchanges - China
Lingua di pubblicazione	Inglese
Formato	Materiale a stampa
Livello bibliografico	Monografia
Note generali	Bibliographic Level Mode of Issuance: Monograph
Nota di contenuto	Cover -- TOCContents -- CHChapter 1. China Stock Market Fundamentals -- 1.1 A Brief History of China's Stock Market -- 1.1.1 The Mainland China Stock Market Development -- 1.1.2 The Hong Kong Stock Market History -- 1.2 Regulatory Organizations -- 1.2.1 China Securities Regulatory Commission -- 1.2.1.1 CSRC Overview -- 1.2.1.2 Functions and Responsibilities of CSRC -- 1.2.1.3 Organizational Structure and Contact of the CSRC -- 1.2.1.4 List of MOUs Signed by the CSRC with Overseas Authorities -- 1.2.2 The Securities Association of China (SAC) -- 1.2.2.1 An Overview of SAC -- 1.2.2.2 The Functions of SAC -- 1.2.2.3 The Organizational Structure of SAC -- 1.2.2.4 Responsibilities of SAC Secretariat Office -- 1.2.2.5 Special Committees of SAC -- 1.2.3 Securities and Futures Commission of Hong Kong (SFC) -- 1.2.3.1 An Overview of SFC -- 1.2.3.2 Regulation Tasks -- 1.2.3.3 Organizational Structure and Contact of HK SFC -- 1.3 The Stock Exchanges -- 1.3.1 Shanghai Stock Exchange (SSE) -- 1.3.1.1 An Overview of the SSE -- 1.3.1.2 SSE Organization Structure and the Contact Information -- 1.3.1.3 Functions of Various SSE Departments -- 1.3.1.4 The Automated Trading System of SSE -- 1.3.1.5 SSE International Activities -- 1.3.2 Shenzhen Stock Exchange (SZSE) -- 1.3.2.1 An Overview of the SZSE -- 1.3.2.2 Main Functions of SZSE -- 1.3.2.3 SZSE Service Focus -- 1.3.2.4 SZSE Organizational Structure and Contact Information -- 1.3.3 Hong Kong Exchanges and Clearing (HKEx) -- 1.3.3.1 An Overview of the HKEx -- 1.3.3.2 HKEx

Regulation and Disclosure -- 1.3.3.3 Regional and International Activities of HKEx -- 1.3.3.4 HKEX Organizational Structure and Contact Information -- 1.3.3.5 HKEx Key Functions -- 1.4 Key Concept of China Stock Markets -- 1.4.1 A shares -- 1.4.2 B shares -- 1.4.3 H shares -- 1.4.4 ST Shares.

1.4.5 QDII - Qualified Domestic Institutional Investors -- 1.4.6 QFII - Qualified Foreign Institutional Investor -- 1.4.7 SME Board - Small and Medium Enterprises Board -- 1.4.8 GEM - Growth Enterprise Market --

1.5 Major Indices of Chinese Stock Markets -- 1.5.1 Shanghai Stock Exchange Indices -- 1.5.1.1 Introduction of Shanghai Stock Exchange Indices -- 1.5.1.2 List of SSE Indices -- 1.5.1.3 Shanghai Stock Index Universe -- 1.5.1.4 Calculation Formulas of Major Indices -- 1.5.1.5 Numerical Examples for SSE Indices Calculation -- 1.5.2 Shenzhen Stock Exchange Indices -- 1.5.2.1 Types of SZSE Indices -- 1.5.2.2 SZSE Indices Calculation -- 1.5.2.3 Selection of SZSE Index Constituents -- 1.5.3 China Securities Index 300 -- 1.5.3.1 CSI300 Index Universe -- 1.5.3.2 CSI300 Selection Criteria -- 1.5.3.3 CSI300 Index Calculation -- 1.5.3.4 Examples for CSI300 Index Calculation -- 1.5.4 Dow Jones China Offshore 50 Index -- 1.5.4.1 Index Criteria -- 1.5.4.2 Index Construction Methodology -- 1.5.4.3 Periodic Review -- 1.5.4.4 Index Maintenance -- 1.5.4.5 Data Correction Policy -- 1.5.5 Hang Seng Index -- 1.5.5.1 Hang Seng Industry Classification System (HSICS) -- 1.5.5.2 Hang Seng Sub-Indexes -- 1.5.5.3 Hang Seng Composite Index -- 1.5.5.4 Hang Seng Indices Examples -- 1.5.5.5 Calculation Formulas of HS Indices -- 1.5.5.6 Numerical Examples for Index Calculation --

CHChapter 2. Stock Market Data and Statistics -- 2.1 Stock Market Data in Mainland China -- 2.1.1 Market Summary at the National Level -- 2.1.2 Shanghai Stock Exchange Market Data -- 2.1.3 Shenzhen Stock Exchange Statistics -- 2.2 Hong Kong Stock Market Statistics --

CHChapter 3. China Investor Landscape -- 3.1 Investor Data in SSE -- 3.2 Investor Data in SZSE -- 3.3 Qualified Foreign Institutional Investors ("QFIIs") in China -- 3.3.1 Introduction -- 3.3.2 QFII/Custodian/Broker. 3.3.3 Certain Restrictions Relating to the QFII Scheme -- 3.3.4 Qualifications of QFII -- 3.3.5 The List and Quota of QFII in China --

CHChapter 4. IPO and Listing Process in China and Hong Kong Markets -- 4.1 The Initial Public Offering (IPO) -- 4.1.1 IPO in Mainland China -- 4.1.2 IPO in Hong Kong -- 4.2 Getting Listed in Stock Exchanges -- 4.2.1 Getting Listed in Shanghai Stock Exchange -- 4.2.2 Getting Listed in Shenzhen Stock Exchange -- 4.2.3 Getting Listed in Hong Kong Stock Exchange -- 4.2.3.1 Listing Requirements -- 4.2.3.2 Application Procedures -- 4.2.3.3 Review Procedure -- 4.2.3.4 Listing Flowchart - Main Board -- 4.2.3.5 Listing Flowchart - GEM -- 4.3 Overview of the Listed Companies -- 4.3.1 The Listed Companies on Shanghai Stock Exchange -- 4.3.2 The Listed Companies on the Shenzhen Stock Exchange -- 4.3.3 China H Share Companies on HKEx -- 4.3.3.1 List of China Mainland H Share Companies on the Main Board -- 4.3.3.2 List of China Mainland H Share Companies on GEM --

CHChapter 5. Stock Trading and Clearing -- 5.1 Trading in Shanghai Stock Exchange -- 5.1.1 SSE Trading Summary in 2006 -- 5.1.2 SSE Trading Rules and Process -- 5.2 Trading in Shenzhen Stock Exchange -- 5.2.1 SZSE Trading Summary in 2006 -- 5.2.2 SZSE Trading Rules and Process -- 5.3 B-share Trading -- 5.3.1 General Rules of B-share Trading -- 5.3.2 Warrants for Rights Issue Transaction -- 5.3.3 Difference of B-share Trading in SSE and SZSE. -- 5.4 Trading in Hong Kong Stock Exchange -- 5.4.1 Trading Summary in HKEx -- 5.4.2 Trading Rules of the HKEx -- 5.4.3 Public Trade Types -- 5.4.4 Trading Rules of the HK

Derivatives Market -- 5.5 Securities Clearing -- 5.5.1 The Clearing System in Mainland China -- 5.5.1.1 An Overview of CSDCC -- 5.5.1.2

Securities Account Management -- 5.5.1.3 Securities Registration -- 5.5.1.4 Securities Custody and Depository. 5.5.1.5 Securities Clearing & Settlement -- 5.5.2 Central Clearing and Settlement System of HK -- 5.5.2.1 The key features of CCASS -- 5.5.2.2 Clearing and Settlement Operations -- CHChapter 6. Mutual Funds in China -- 6.1 Fund Management Companies in Mainland China -- 6.2 Open-end Fund -- 6.3 Close-end Fund -- 6.4 Index and EFT Funds -- 6.5 LOF Fund -- 6.6 QDII Funds -- 6.7 China Equity Funds in HK and Other Countries -- CHChapter 7. Securities Brokerage Firms -- 7.1 Securities Company Establishment and Operation in China -- 7.1.1 Requirements -- 7.1.2 Business Scopes -- 7.1.3 Risk Control -- 7.2 Brokerage Firms in Mainland China -- 7.2.1 Top 20 Brokerage Firms in Trading Volume -- 7.2.2 Summary of Member Security Firms in SSE -- 7.2.3 Summary of Member Security Firms in SZSE -- 7.2.4 List of Security Firms in Mainland China -- 7.3 Brokerage Firms in Hong Kong -- CHChapter 8. Laws and Regulations -- 8.1 Laws of the P. R. China Concerning the Securities Market -- The Company Law of the People's Republic of China -- Securities Law of the People's Republic of China -- Law of the People's Republic of China on Securities Investment Fund -- Accounting Law of the People's Republic of China -- Trust Law of the People's Republic of China -- 8.2 Regulations of the P. R. China Concerning the Securities Market -- Measures for the Administration of Stock Exchanges -- Regulations of the Public Offering Review Committee of the China Securities Regulatory Commission -- Circular on Promulgation of Procedures for Public Offering Review of the China Securities Regulatory Commission -- Securities Depository and Clearing Rules -- Interim Measures for the Administration of Securities Investment Funds -- Provisional Measures Administration of Domestic Securities Investments of Qualified Foreign Institutional Investors (QFII). Special Regulations of the State Council Concerning Issuing and Listing of Shares Overseas by Company -- 8.3 Rules of Stock Exchanges -- Trading Rules of Shanghai Stock Exchange -- Shenzhen Stock Exchange Trading Rules -- Special Provisions on Trading on the SME Board of Shenzhen Stock Exchange -- Special Regulations on Suspending and Terminating the Listing of Stocks on SME Board -- Corporate Bonds Listing Rules of Shanghai Stock Exchange -- Appendices -- Appendix I: Glossary -- Appendix II: Useful Web Links -- Appendix III: Web Links of the Listed Companies of HKEx -- Appendix IV: Timeline of the Development of China's Stock Market.

Sommario/riassunto

A comprehensive reference book for investors, financial professionals and anyone studying and investing in the China and Hong Kong stock markets.

2. Record Nr.	UNISA996217319503316
Autore	Winterton Jonathan <1951-, >
Titolo	Developing managerial competence // Jonathan Winterton and Ruth Winterton
Pubbl/distr/stampa	New York : , : Routledge, , 1999
ISBN	0-566-07475-3 1-134-67140-7 1-134-67141-5 1-280-33312-X 0-203-02039-1
Descrizione fisica	xvi, 307 p. : ill
Altri autori (Persone)	WintertonRuth
Disciplina	658/.0071/041
Soggetti	Management - Study and teaching - Great Britain Executives - Training of - Great Britain Executive ability - Standards - Great Britain Competency-based education - Great Britain
Lingua di pubblicazione	Inglese
Formato	Materiale a stampa
Livello bibliografico	Monografia
Note generali	Bibliographic Level Mode of Issuance: Monograph
Nota di bibliografia	Includes bibliographical references (p. [280]-297) and index.
Nota di contenuto	chapter 1 Introduction -- chapter 2 Management development -- chapter 3 Methodological approach -- chapter 4 Organizational strategy and management development -- chapter 5 Human resource development strategies -- chapter 6 Individual performance -- chapter 7 Organizational performance -- chapter 8 Business performance -- chapter 9 Conclusions.