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Securities - State supervision - Canada
Accounting
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Public Finance
Industries: Financial Services
Business and Financial
General Financial Markets: General (includes Measurement and Data)
Public Administration
Public Sector Accounting and Audits |

Auditing
Pension Funds
Non-bank Financial Institutions
Financial Instruments
Institutional Investors
Corporation and Securities Law
Investment & securities
Financial reporting, financial statements
Management accounting & bookkeeping
Finance
Financial services law & regulation
Securities
Financial statements
Mutual funds
Securities regulation
Financial institutions
Public financial management (PFM)
Financial regulation and supervision
Financial instruments
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Nonbank financial institutions
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Canada

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Note generali	Description based upon print version of record.
Nota di contenuto	Cover; CONTENTS; GLOSSARY; SUMMARY; INTRODUCTION AND SCOPE; DESCRIPTION OF REGULATORY STRUCTURE AND PRACTICES; MARKET STRUCTURE; A. Markets; TABLES; 1. ATS; B. CIS; FIGURE; 1. Market Share Trend (TSX Listed Stocks); 2. Fund Type by AUM; C. Intermediaries; GENERAL PRECONDITIONS FOR EFFECTIVE SECURITIES REGULATION; MAIN FINDINGS; 3. Summary Implementation of the IOSCO Principles-Detailed Assessments; 4. Recommended Action Plan to Improve Implementation of the IOSCO Principles; A. Authorities' Response to the Assessment; DETAILED ASSESSMENT 5. Detailed Assessment of Implementation of the IOSCO Principles
Sommario/riassunto	This paper presents an assessment IMF report on implementation of the International Organization of Securities Commission (IOSCO) principles in Canada. It highlights that developing an integrated and robust view of risks to support supervisory actions remains a key challenge. The IMF report suggests that the securities regulators should continue to take steps to ensure timely decision making in policy formulation. However, the current governance arrangements, based on a consensus building approach across several entities, is expected to affect timeliness of decision making.