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| Nota di contenuto | Sarbanes-Oxley Guide for Finance and Information Technology Professionals; Contents; Preface; Acknowledgements; Introduction; EVENTS LEADING UP TO THE ACT; REGULATION OVERHAUL; GOVERNMENT REACTION; IMPACT OF THE ACT; SARBANES-OXLEY AND CORPORATE CULTURE; SARBANES-OXLEY AND THE FINANCE DEPARTMENT; SARBANES-OXLEY AND THE IT DEPARTMENT; SARBANES-OXLEY AND CORPORATE MANAGEMENT; PROCESSES OR SYSTEMS?; CONSEQUENCES OF NONCOMPLIANCE; CIVIL AND CRIMINAL PENALTIES; ENDNOTE; Part I: Sarbanes-Oxley for the Finance Professional; Chapter 1: Scope and Assessment of the Act; INTEGRITY; INDEPENDENCE PROPER OVERSIGHTACCOUNTABILITY; STRONG INTERNAL CONTROLS; TRANSPARENCY; DETERRENCE; CORPORATE PROCESS MANAGEMENT; ENDNOTES; Chapter 2: Internal Controls; COMPONENTS OF INTERNAL CONTROL; PURPOSE OF INTERNAL CONTROL; DEVELOPING AN INTERNAL CONTROL SYSTEM; Chapter 3: Control Environment; RISK |

ASSESSMENT; INFORMATION AND COMMUNICATION; MONITORING;
 Chapter 4: Material Weaknesses; SPECIFIC INTERNAL CONTROLS TO
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Praise for Sarbanes-Oxley Guide for Finance and Information
 Technology Professionals ""Effective SOX programs enlist the entire
 organization to build and monitor a compliant control environment.
 However, even the best SOX programs are inefficient at best, ineffective
 at worst, if there is a lack of informed, competent finance and IT
 personnel to support the effort. This book provides these important
 professionals a needed resource for and road map toward successfully
 implementing their SOX initiative.""-Scott Green Chief Administrative
 Officer, Weil, Gotshal & Manges LLP an