

1. Record Nr.	UNINA9911019390403321
Autore	Marchetti Anne M. <1963->
Titolo	Sarbanes-Oxley ongoing compliance guide : key processes and summary checklists // Anne M. Marchetti
Pubbl/distr/stampa	Hoboken, NJ, : John Wiley & Sons, Inc., c2007
ISBN	9786610855308 9781119201502 1119201500 9781280855306 1280855304 9780470144947 0470144947
Edizione	[1st edition]
Descrizione fisica	1 online resource (92 p.)
Disciplina	346.730664 658.151
Soggetti	Corporations - Accounting - Law and legislation - United States Corporations - Auditing - Law and legislation - United States Financial statements - Law and legislation - United States Corporations - United States - Accounting Corporations - United States - Auditing Financial statements - United States
Lingua di pubblicazione	Inglese
Formato	Materiale a stampa
Livello bibliografico	Monografia
Note generali	Includes index.
Nota di bibliografia	Includes bibliographical references and index.
Nota di contenuto	SARBANES-OXLEY ONGOING COMPLIANCE GUIDE; DEDICATION; TABLE OF CONTENTS; PREFACE; CHAPTER 1: THE PATH TO ONGOING COMPLIANCE; THE ORIGINS OF THE SARBANES-OXLEY ACT; GENERATING VALUE FROM COMPLIANCE; MOVING BEYOND INITIAL COMPLIANCE; REMEDIATION PRIORITIZATION; CHAPTER 2: OPTIMIZING COMPLIANCE EFFORTS; CURRENT COMPLIANCE CHALLENGES; FUTURE STATE OPPORTUNITY: COMPLIANCE OPTIMIZATION; ISSUES TO CONSIDER WHEN OPTIMIZING COMPLIANCE; RIGHT-SIZING BEST PRACTICES; THE ROLE OF INTERNAL AUDIT: BALANCING THE COMPLIANCE AND AUDIT FUNCTIONS; THE EVOLVING ROLE OF THE AUDIT COMMITTEE

CHAPTER 3: THE TIME HAS COME FOR ERM THE BENEFITS OF ERM: VALUE PRESERVATION AND CREATION; IMPLEMENTING ERM; ENTERPRISE RISK MANAGEMENT CYCLE; RISK MANAGEMENT REQUIRES A WELL-INFORMED AUDIT COMMITTEE; MAXIMIZE FUTURE PERFORMANCE THROUGH BPM AND ERM INTEGRATION; CHAPTER 4: ADDRESSING COMPLIANCE CHALLENGES THROUGH AUTOMATION; SOFTWARE CAN ADD VALUE BEYOND COMPLIANCE; CONTINUOUS MONITORING TOOL CONSIDERATIONS; THE CONTINUOUS MONITORING PROCESS; RISK MANAGEMENT SOFTWARE; UNIFYING FINANCIAL STATEMENTS, CLOSE TASKS, AND SOX CONTROLS; APPENDIX A: Ongoing Compliance Checklist; PLAN, DESIGN, AND BUILD IMPLEMENT INDEX

Sommario/riassunto

Quick and easy implementation and maintenance guide for ongoing Sarbanes-Oxley compliance For most companies, achieving compliance to the Sarbanes-Oxley Act (SOX) has proven to be more challenging, and more costly, than initially anticipated. In many cases, initial and second-year compliance efforts were found to have strained company resources, causing a shift of focus away from such areas as internal audit in order to meet SOX requirements. Sarbanes-Oxley Ongoing Compliance Guide: Key Processes and Summary Checklists provides controllers, CFOs, and auditors with step-by-ste
