Record Nr. UNINA9911018943603321 Autore Gray Joanna, L. L.B. Titolo Implementing financial regulation: theory and practice / / Joanna Gray and Jenny Hamilton Chichester, England;; Hoboken, NJ,: John Wiley & Sons, c2006 Pubbl/distr/stampa **ISBN** 9786610449132 9781119207115 1119207118 9781280449130 1280449136 9780470869307 0470869305 Descrizione fisica 1 online resource (287 p.) Collana Wiley finance Altri autori (Persone) HamiltonJenny <1957-> 346.41/082 Disciplina 346.4108 Financial services industry - Law and legislation - Great Britain Soggetti Financial services industry - State supervision - Great Britain Financial services industry - Great Britain Lingua di pubblicazione Inglese **Formato** Materiale a stampa Livello bibliografico Monografia Description based upon print version of record. Note generali Nota di bibliografia Includes bibliographical references and index. Nota di contenuto Implementing Financial Regulation; Contents; Preface; Acknowledgements: 1 Regulation in Context: 2 Aligning Risk and Regulation: FSA's Risk-Based Operating Framework; 3 Regulation within the Regulated Firm: Legislation and Rules; 4 Senior Management Regulation: Evidence and Practice Since N2; 5 The Wider Regulatory and Legal Context for Senior Management; 6 Regulation and the Emergence of the Financial Citizen; 7 An Illustrated Critique of Meta Regulation and Concluding Comments: Index Sommario/riassunto Now that the Financial Services and Markets Act 2000 has had a chance to bed itself down and the Financial Services Authority (FSA) is developing its new regulatory toolkit and modus operandi, financial

regulation has moved on in interesting directions. This book takes a critical look at the principles and practices behind this regulation, as

well as the theory that is involved. This book goes further than a description of the laws that are currently out there, by analysing the impact and implications of the new financial regulations, making it a 'must-read' for law, finance an