Record Nr. UNINA9910973230003321 Autore Boskovic Tanja Titolo Comparing European and U.S. securities regulations: MiFID versus corresponding U.S. regulations / / Tanja Boskovic, Caroline Cerruti, Michel Noel Washington, D.C., : World Bank, c2010 Pubbl/distr/stampa 1-282-46132-X **ISBN** 9786612461323 0-8213-8254-3 Edizione [1st ed.] Descrizione fisica viii, 173 pages : : 26 cm World Bank working paper, , 1726-5878;; no. 184 Collana Altri autori (Persone) CerrutiCaroline NoelMichel <1954-> Disciplina 346.0926094 Securities - European Union countries Soggetti Securities - United States Lingua di pubblicazione Inglese **Formato** Materiale a stampa Livello bibliografico Monografia Note generali Description based upon print version of record. Nota di bibliografia Includes bibliographical references (p. 172-173). Nota di contenuto Contents; Acknowledgments; Acronyms and Abbreviations; Executive Summary; Introduction; 1. Regulatory Framework and Oversight; 2. Scope of the Securities Regulations; 3. Objectives of the Securities Regulations in Europe and the United States; 4. Implementation of the Different Objectives; 5. Post-Financial Crisis Lessons; 6. Conclusion and Areas for Future Research; Appendixes; References The paper compares the EU and US securities regulations, and Sommario/riassunto specifically MiFID with the corresponding US regulations. It primarily focuses on the regulatory and supervisory framework, trading venues, and the provision of investment services. The paper argues that the architecture and some of the rules regarding securities markets are different in the two regions, but the objectives and some of the outcomes are similar. It looks at the regulatory frameworks, the scope and objectives of securities regulations, the rules implementing the

different objectives, and draws some crisis-related lessons