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Nota di contenuto	Cover; Contents; Glossary; Executive Summary; I. Introduction; II. Information and Methodology Used for the Assessment; III. Institutional Structure; IV. Market Structure; Tables; 1. Corporate Bonds; V. Preconditions for Effective Securities Regulation; VI. Main Findings; 2. Summary Implementation of the IOSCO Principles and Objectives of Securities Regulation; VII. Recommended Action Plan and Authorities' Response; 3. Recommended Action Plan to Improve Implementation of the IOSCO Principles; VIII. Detailed Assessment 4. Detailed Assessment of Implementation of the IOSCO Principles Annex; I. Status of Implementation of the New IOSCO Principles
Sommario/riassunto	This paper discusses findings of the assessments on International Organization of Securities Commissions (IOSCO) Objectives and Principles of Securities Regulation for India. India exhibits significant progress in the implementation of the IOSCO Principles vis-à-vis the assessment concluded in 2000. The Securities and Exchange Board of India (SEBI) faces three main challenges that altogether impact the effectiveness of the supervisory programs for issuers and securities intermediaries: strengthening the supervision approach toward securities intermediaries, improving mechanisms to ensure compliance of issuers with reporting requirements, and mechanisms to ensure compliance with accounting and auditing requirements. SEBI is aware of such challenges, and some measures are currently being implemented to address them.