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and Applying Corporate Ethics; Three Critical Steps in Establishing Ethics; Ethics in Regulation; Principles and Ethics; Advantages and Disadvantages of Principles Principles-Based Regulation Conclusion; Endnotes; Chapter 5 Culture; Pro-Compliance Culture; What Is Culture?; What Is a Values-Led Mind-Set?; Changing and Embedding Culture; How to Change Culture; Creating Crucibles in Regulation-Examples; Regulatory Methodologies; UK Indicators; Fair Dealing in Singapore; Measuring Culture; Conclusion; Endnotes; Chapter 6 Good Governance; Why Does Governance Matter?; What Is Corporate Governance?; A Model of Good Governance; Ten Principles of Good Governance; Conclusion; Review: The State of Corporate Governance; Endnotes; Chapter 7 Outcomes Why Is This Step Up So Significant? What Is Outcome?; Why Is Outcome So Important?; Development Matrix; Outcomes in Singapore Regulation; Outcomes and Enforcement; Conclusion; Endnotes; Part 3 Purpose; Chapter 8 Community; Importance of Community; Role of Companies; Social Usefulness of Banks; Role of Compliance; Definition of Community; Community Principles; Sustainable Communities Principles-What Do They Mean?; Development Matrix; Corporate Social Responsibility; New Reporting Standards; Behavioural Economics; Vulnerable Consumers; Conclusion; Endnotes; Chapter 9 Corporate Faith What Is Corporate Faith?Managing Corporate Faith; The Value of Corporate Faith; Dimensions of Corporate Faith; How Faith Develops; Corporate Faith into Practice; Professionalism; Judgment-Based Compliance; Conclusion; Chapter 10 Corporate Maturity; What Is Maturity?; Maturity and a Direction of Travel; Unconditionality; The Underlying Process; Corporate Maturity Framework; Cavitation; Connecting the Five Stages of Development with the Five Levels of Maturity; Conclusion; Index; EULA

Sommario/riassunto

Beyond Compliance is about a necessary change in the way businesses carry out compliance, from expensive mechanical 'tick-boxing' to a much more mature, intelligent and lower cost 'judgment-based' compliance which aims to prevent problems occurring rather than treating symptoms and adds value by enhancing customer experience, reducing errors and complaints, reducing reputational damage and regulatory risk. It would work as a reference book in business as well as an academic text. This book includes: maturity matrices (a new measurement tool used in forthcoming ISO 37000)
