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Nota di contenuto	Cover; CONTENTS; GLOSSARY; SUMMARY, KEY FINDINGS, AND RECOMMENDATIONS; INTRODUCTION; A. Information and Methodology Used for Assessment; INSTITUTIONAL AND MARKET STRUCTURE-OVERVIEW; TABLES; 1. ISE Member Firms; 2. Numbers of Market Intermediaries; PRECONDITIONS FOR EFFECTIVE SECURITIES REGULATION; A. Main Findings; B. Summary Implementation of the IOSCO Principles-Detailed Assessments; RECOMMENDED ACTION AND AUTHORITIES' RESPONSE; A. Recommended Action; B. Authorities' Response to the Assessment; DETAILED ASSESSMENT; A. Detailed Assessment of Implementation of the IOSCO Principles
Sommario/riassunto	This Detailed Assessment of Observance assessment of Ireland was carried out using the 2011 International Organization of Securities Commissions (IOSCO) Methodology for Assessing Implementation of the IOSCO Principles. The legal framework is robust and provides the Central Bank of Ireland with broad supervisory, investigative and enforcement powers. There are arrangements for on-site and off-site monitoring of regulated entities. Thematic reviews in selected areas have complemented such monitoring. The Central Bank and the Irish Stock Exchange have also developed sound systems for market surveillance. Certain aspects of the legal provisions regarding the governance structure of the Central Bank of Ireland raise concerns about its independence, although there were no indications of any interference with day-to-day operations. The regime that applies to entities that have issued their securities to the public where their securities are not admitted to trading on a regulated market needs to be strengthened. The Central Bank of Ireland lacks the power to appoint administrators to investments firms in the event of financial difficulties within the firm.
