

1. Record Nr.	UNINA9910813194103321
Titolo	Canada : : Financial Sector Assessment Program-IOSCO Objectives and Principles of Securities Regulation-Detailed Assessment of Implementation
Pubbl/distr/stampa	Washington, D.C. : , : International Monetary Fund, , 2014
ISBN	1-4755-2439-0 1-4755-2391-2 1-4755-1809-9
Edizione	[1st ed.]
Descrizione fisica	1 online resource (245 p.)
Collana	IMF Staff Country Reports
Disciplina	332.152
Soggetti	Securities - State supervision - Canada - Evaluation Securities - State supervision - Canada Accounting Investments: General Public Finance Industries: Financial Services Business and Financial General Financial Markets: General (includes Measurement and Data) Public Administration Public Sector Accounting and Audits Auditing Pension Funds Non-bank Financial Institutions Financial Instruments Institutional Investors Corporation and Securities Law Investment & securities Financial reporting, financial statements Management accounting & bookkeeping Finance Financial services law & regulation Securities Financial statements Mutual funds Securities regulation Financial institutions Public financial management (PFM)

Financial regulation and supervision
Financial instruments
Finance, Public
Nonbank financial institutions
Law and legislation
Canada

Lingua di pubblicazione	Inglese
Formato	Materiale a stampa
Livello bibliografico	Monografia
Note generali	Description based upon print version of record.
Nota di contenuto	Cover; CONTENTS; GLOSSARY; SUMMARY; INTRODUCTION AND SCOPE; DESCRIPTION OF REGULATORY STRUCTURE AND PRACTICES; MARKET STRUCTURE; A. Markets; TABLES; 1. ATS; B. CIS; FIGURE; 1. Market Share Trend (TSX Listed Stocks); 2. Fund Type by AUM; C. Intermediaries; GENERAL PRECONDITIONS FOR EFFECTIVE SECURITIES REGULATION; MAIN FINDINGS; 3. Summary Implementation of the IOSCO Principles-Detailed Assessments; 4. Recommended Action Plan to Improve Implementation of the IOSCO Principles; A. Authorities' Response to the Assessment; DETAILED ASSESSMENT 5. Detailed Assessment of Implementation of the IOSCO Principles
Sommario/riassunto	This paper presents an assessment IMF report on implementation of the International Organization of Securities Commission (IOSCO) principles in Canada. It highlights that developing an integrated and robust view of risks to support supervisory actions remains a key challenge. The IMF report suggests that the securities regulators should continue to take steps to ensure timely decision making in policy formulation. However, the current governance arrangements, based on a consensus building approach across several entities, is expected to affect timeliness of decision making.
