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Cover; CONTENTS; GLOSSARY; SUMMARY; INTRODUCTION AND SCOPE; DESCRIPTION OF REGULATORY STRUCTURE AND PRACTICES; MARKET STRUCTURE; A. Markets; TABLES; 1. ATS; B. CIS; FIGURE; 1. Market Share Trend (TSX Listed Stocks); 2. Fund Type by AUM; C. Intermediaries; GENERAL PRECONDITIONS FOR EFFECTIVE SECURITIES REGULATION; MAIN FINDINGS; 3. Summary Implementation of the IOSCO Principles-Detailed Assessments; 4. Recommended Action Plan to Improve Implementation of the IOSCO Principles; A. Authorities' Response to the Assessment; DETAILED ASSESSMENT

5. Detailed Assessment of Implementation of the IOSCO Principles

Sommario/riassunto

This paper presents an assessment IMF report on implementation of the International Organization of Securities Commission (IOSCO) principles in Canada. It highlights that developing an integrated and robust view of risks to support supervisory actions remains a key challenge. The IMF report suggests that the securities regulators should continue to take steps to ensure timely decision making in policy formulation. However, the current governance arrangements, based on a consensus building approach across several entities, is expected to affect timeliness of decision making.