Record Nr. UNINA9910783588003321 Autore Mwenda Kenneth Kaoma Titolo Legal aspects of financial services regulation and the concept of a unified regulator / / Kenneth Kaoma Mwenda Washington, D.C.:,: World Bank,, c2006 Pubbl/distr/stampa 1-280-41370-0 **ISBN** 9786610413706 0-8213-6460-X Descrizione fisica xv, 161 pages;; 23 cm Collana Law, justice, and development Disciplina 346.73/082 Financial institutions - Law and legislation Soggetti Administrative law Banks and banking - State supervision Lingua di pubblicazione Inglese **Formato** Materiale a stampa Livello bibliografico Monografia Description based upon print version of record. Note generali Includes bibliographical references (p. 149-152) and index. Nota di bibliografia Nota di contenuto Contents; List of Tables and Figures; List of Tables and Figures; Foreword; Abstract; Acknowledgments; Acronyms and Abbreviations; Chapter 1 Designing a Sound Regulatory Framework for Financial Services Supervision: Chapter 2 Promoting the Independence of a Financial Services Regulator 19; Chapter 3 The Concept of a Unified Financial Services Regulator; Chapter 4 Frameworks for Unified Financial Services Supervision: Latvia, the Scandinavian Countries, and the United Kingdom; Chapter 5 Conclusion; Appendix 1 The Estonian Financial Supervisory Authority Act, 2001 Appendix 2 The Hungarian Financial Supervisory Authority Act, 1999 Appendix 3 The Latvian Law on the Financial and Capital Market Commission 2001; Select Bibliography; Index Sommario/riassunto That different types of financial services and products continue to spring up in the financial sector of many countries is indicative of the changing landscape of the financial services industry globally. Equally

important, as indicators of the evolving trajectory of financial services regulation, are increases in the number of countries where universal

companies providing different types of financial services and products.

banking is practiced and in numbers of parent and subsidiary

This book is written against that background. A central thesis pursued in the book is that until there is