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Titolo	Building a world-class compliance program [[electronic resource]] : best practices and strategies for success / / Martin T. Biegelman ; with Daniel R. Biegelman
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Altri autori (Persone)	BiegelmanDaniel R
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Nota di bibliografia	Includes bibliographical references and index.
Nota di contenuto	Building a World-Class Compliance Program; Contents; Foreword; AN EVOLVING FUNCTION; A FALSE SENSE OF SECURITY; HIGH STAKES; SUPPORT FOR THIS ENDEAVOR; Preface; Acknowledgments; About the Author; Chapter 1: Why Ethics and Compliance Will Always Matter; ETHICS IS JOB ONE; THE NYPD AND AN ETHICAL CULTURE; WHAT IS COMPLIANCE?; BUILDING THE BUSINESS CASE FOR ETHICS; COMPLIANCE OBSTACLES; KEN LAY ON ETHICAL CONDUCT; THE WARNING SIGNS OF COMPLIANCE FAILURES; NOTES; Chapter 2: Tone at the Top and Throughout; INTEGRITY AT THE TOP; IT'S BETTER TO BE LUCKY THAN GOOD; COMMUNICATING VALUES HOW THE CEO CAN MAKE THE DIFFERENCENOTES; Chapter 3: The

Growth and Evolution of Compliance; A BRIEF HISTORY OF COMPLIANCE; CRACKING DOWN ON FRAUD; THE MCNULTY MEMORANDUM; EVALUATING THE SEABOARD CRITERIA IN MITIGATING ENFORCEMENT ACTIONS; NOTES; Chapter 4: Caremark and Sarbanes-Oxley: Enhancing Compliance; THE CAREMARK CASE; CAREMARK: A CRITICAL LOOK BACK; SOX RECONSIDERED; ADDITIONAL COMPLIANCE LAWS AND STANDARDS; NOTES; Chapter 5: CA's Compliance Rebirth: Don't Lie, Don't Cheat, Don't Steal; THE "35-DAY MONTH" ACCOUNTING FRAUD; THE DEFERRED PROSECUTION AGREEMENT CA'S FIRST CHIEF COMPLIANCE OFFICER UNFETTERED ACCESS; BUILDING THE COMPLIANCE PROGRAM; CA'S REVISED CODE OF CONDUCT; JOINING THE DEFENSE INDUSTRY INITIATIVE; CA'S TONE AT THE TOP; RESPONSE TO VIOLATIONS OF BUSINESS PRACTICES; ENSURING FUTURE COMPLIANCE; BUSINESS PRACTICE OFFICERS; COMPLIANCE AND ETHICS LEADERSHIP COUNCIL PROGRAM ASSESSMENT; PAT GNAZZO'S FIVE BEST PRACTICES FOR A WORLD-CLASS COMPLIANCE PROGRAM; A NEW ERA OF OPPORTUNITY; NOTES; Chapter 6: The International Landscape of Compliance; THE FOREIGN CORRUPT PRACTICES ACT; SCHNITZER STEEL AND THE FCPA METCALF AND EDDY CIVIL FCPA SETTLEMENT THE CHALLENGE OF IMPLEMENTING CORPORATE COMPLIANCE IN FOREIGN ISSUERS; NOTES; Chapter 7: Compliance Programs and Anti-Money Laundering Efforts; WHAT IS MONEY LAUNDERING?; BANK SECRECY ACT; USA PATRIOT ACT; NON-FINANCIAL INSTITUTIONS; COMPLIANCE PROGRAMS; THE RISE OF FOREIGN STATUTES; NOTES; About the Chapter Authors; Chapter 8: Interview with an Ethics and Compliance Thought Leader; NOTES; Chapter 9: Building a World-Class Compliance Program: The Seven Steps in Practice (Part I); THE SEVEN STEPS TO AN EFFECTIVE COMPLIANCE PROGRAM; SEVEN STEPS OVERVIEW STEP 1: COMPLIANCE STANDARDS AND PROCEDURES STEP 2: ORGANIZATIONAL LEADERSHIP AND A CULTURE OF COMPLIANCE; STEP 3: REASONABLE EFFORTS TO EXCLUDE PROHIBITED PERSONS; NOTES; Chapter 10: Building a World-Class Compliance Program: The Seven Steps in Practice (Part II); STEP 4: TRAINING AND COMMUNICATION OF STANDARDS AND PROCEDURES; STEP 5: MONITORING, AUDITING, AND EVALUATING PROGRAM EFFECTIVENESS; STEP 6: PERFORMANCE INCENTIVES AND DISCIPLINARY ACTION; STEP 7: RESPONSE TO CRIMINAL CONDUCT AND REMEDIAL ACTION; AVOIDING ACCIDENTS ON THE ROAD TO COMPLIANCE; NOTES Chapter 11: Recognizing Compliance Excellence: Premier, Inc. and Winning the Baldrige Award*

Sommario/riassunto

Written by a long-standing practitioner in the field, this timely and critical work is your best source for understanding all the complex issues and requirements associated with corporate compliance. It provides clear guidance for those charged with protecting their companies from financial and reputational risk, litigation, and government intervention, who want a robust guide to establish an effective compliance program.

2. Record Nr.	UNINA9910780639403321
Titolo	Dignity and Defiance : Stories from Bolivia's Challenge to Globalization // James Shultz, Melissa Draper
Pubbl/distr/stampa	Berkeley, CA : , : University of California Press, , [2009] ©2009
ISBN	1-282-36078-7 9786612360787 0-520-94266-3
Descrizione fisica	1 online resource (353 p.)
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Soggetti	Globalization - Economic aspects - Bolivia Investments, Foreign - Bolivia Bolivia Foreign economic relations
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Nota di contenuto	Frontmatter -- Contents -- Acknowledgments -- Introduction -- Chapter 1. The Cochabamba Water Revolt and Its Aftermath -- Chapter 2. A River Turns Black -- Chapter 3. Oil and Gas: The Elusive Wealth beneath Their Feet -- Chapter 4. Lessons in Blood and Fire -- Chapter 5. Economic Strings -- Chapter 6. Coca: The Leaf at the Center of the War on Drugs -- Chapter 7. Workers, Leaders, and Mothers -- Chapter 8. And Those Who Left -- Conclusion -- Contributors -- Notes -- Index
Sommario/riassunto	Dignity and Defiance is a powerful, eyewitness account of Bolivia's decade-long rebellion against globalization imposed from abroad. Based on extensive interviews, this story comes alive with first-person accounts of a massive Enron/Shell oil spill from an elderly woman whose livelihood it threatens, of the young people who stood down a former dictator to take back control of their water, and of Bolivia's dramatic and successful challenge to the policies of the World Bank and the International Monetary Fund. Featuring a substantial introduction, a conclusion, and introductions to each of the chapters, this well-crafted mix of storytelling and analysis is a rich portrait of people calling for

global integration to be different than it has been: more fair and more just.
