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| Titolo | Serve the power(s), serve the state : America and Eurasia / / edited by Juan Carlos Garavaglia, Michael J. Braddick and Christian Lamouroux |
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| Lingua di pubblicazione | Inglese |
| Formato | Materiale a stampa |
| Livello bibliografico | Monografia |
| Nota di bibliografia | Includes bibliographical references at the end of each chapters. |

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| Autore | Bewaji Wunmi |
| Titolo | Insider trading in developing jurisdictions : achieving an effective regulatory regime / / Wunmi Bewaji |
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| Note generali | Description based upon print version of record. |
| Nota di bibliografia | Includes bibliographical references and index. |
| Nota di contenuto | Insider Trading in Developing Jurisdictions Achieving an effective regulatory regime; Copyright; Contents; Preface; Table of cases; Table of statutes; Table of statutory instruments; European Economic Community Directives; Rules and directives of regulators; 1 Introduction; 1.1 Aims; 1.2 Methodology; 1.3 Outline of the book; 2 Insider dealing: The crime of information; 2.1 Introduction; 2.2 Historical background; 2.3 Theoretical basis of insider's liability; 2.3.1 Legal theories; 2.3.2 Efficiency theories: the hobble, bubble and tumble; 2.4 The regulation debate 2.4.1 Arguments against regulation2.4.2 Arguments in favour of regulation; 2.5 Conclusion; 3 Fighting a common enemy: Anglo-American and Japanese attitudes on insider dealing; 3.1 Introduction; 3.2 Comparative analysis of regulatory regimes; 3.2.1 Regulatory mode; 3.2.2 Prohibited information; 3.2.3 The insider; 3.2.4 Prohibited conduct; 3.2.5 Affected securities; 3.2.6 Intention; 3.2.7 Regulatory authorities; 3.2.8 Remedies; 3.3 Has it worked?; 3.4 Conclusion; 4 Flags |

of confusion: The limitations and frustrations of imitation; 4.1 Introduction; 4.2 Background; 4.3 The prohibition 4.3.1 Who is an insider? 4.3.2 What is inside information?; 4.3.3 What an insider is prohibited from doing; 4.3.4 What are the affected securities?; 4.3.5 Knowledge; 4.3.6 The exemptions; 4.3.7 Penalties; 4.4 Enforcement; 4.5 Conclusion; 5 A critical expedition into the Kingdom of Nigeria's insider dealers; 5.1 Introduction and background; 5.1.1 Reason for the study; 5.1.2 Methodology; 5.1.3 Ethics; 5.1.4 The subjects; 5.1.5 Anonymization; 5.1.6 Empirical evidence; 5.2 Introduction to insider dealing in Nigeria; 5.2.1 Understanding by relevant parties; 5.2.2 Patterns of misunderstanding 5.2.3 Reasons for lack of understanding 5.2.4 Effects of lack of understanding; 5.3 Profile of the Nigerian insider dealer; 5.3.1 Does it exist?; 5.3.2 The Nigerian insiders; 5.4 The antics and tactics of the Nigerian insider dealer; 5.4.1 Types of insider dealing in Nigeria; 5.4.2 Effects of insiders' activities; 5.5 Conclusion; 6 Fixing the leaking roof of Nigeria's insider dealing regulation; 6.1 Introduction; 6.2 Insiders' pre-emption; 6.2.1 Restrictions on insiders' holding; 6.2.2 Short-swing and freeze rule; 6.3 Private right of action; 6.3.1 Action by the company 6.3.2 Corporate derivative action 6.3.3 Personal action; 6.3.4 Representative action; 6.4 Civil remedies; 6.4.1 Fiduciary duties; 6.4.2 Breach of confidence; 6.4.3 Misrepresentation; 6.4.4 Breach of statutory duty; 6.5 Other factors; 6.5.1 Administrative procedure; 6.5.2 Disclosure; 6.5.3 Investigations; 6.5.4 Investment frauds insurance; 6.6 Conclusion; 7 Conclusion; 7.1 The future of regulation; Bibliography; Appendix: Pertinent Sections of the Investments and Securities Act 2007 (ISA); Index

Sommario/riassunto

The book examines the regulation of insider dealing in the developed jurisdictions, using three of the G7 countries as guides with the aim of knowing how they have regulated insider trading and what lessons can be learnt from their failures and achievements. It looks at regulatory regimes in the US, the UK and Japan in order to consider whether these regimes can be successfully transplanted to developing countries. In order to explore insider dealing in the developing world the book focuses on Nigeria, Africa's most populous nation and second largest economy. This book examines in the
