

1. Record Nr.	UNINA9910451414103321
Titolo	Regulating financial services and markets in the twenty first century / edited by Eilis Ferran and Charles A.E. Goodhart
Pubbl/distr/stampa	Oxford ; Portland, Oregon, : Hart Publishing, 2001
ISBN	1-4725-5912-6 1-280-80060-7 9786610800605 1-84731-169-5
Edizione	[1st ed.]
Descrizione fisica	1 online resource (356 p.)
Disciplina	346.41/082
Soggetti	Financial services industry - Law and legislation - Great Britain Financial institutions - Law and legislation - Great Britain Securities industry - Law and legislation - Great Britain Investments - Law and legislation - Great Britain Electronic books.
Lingua di pubblicazione	Inglese
Formato	Materiale a stampa
Livello bibliografico	Monografia
Note generali	"Contains revised versions of papers that were presented at a conference on The Challenges Facing Financial Regulation, which took place in the Law Faculty, Cambridge University, in July 2000"--Pref.
Nota di bibliografia	Includes bibliographical references and index
Nota di contenuto	GENERAL OVERVIEW -- 1. Regulating Financial Services and Markets in the Twenty First Century: An Overview -- Eilis Ferran and Charles A.E. Goodhart -- 2. Reforming Financial Regulation: Progress and Priorities -- Howard Davies -- OBJECTIVES AND PRINCIPLES -- 3. Regulatory Principles and the Financial Services and Markets Act 2000 -- Colin Mayer -- 4. Examining the Objectives of Financial Regulation-Will the New Regime Succeed? A Practitioner's View -- Amelia C. Fawcett -- 5. Incentive v. Rule-Based Financial Regulation: A Role for Market Discipline -- Rahul Dhumale -- REGULATION OF SENIOR MANAGEMENT -- 6. Directors' Fiduciary Duties and the Approved Persons Regime -- Colin Bamford -- 7. Fiduciary Duties, Regulation of Companies and Regulation of Individuals -- Sir Adam Ridley -- DISCIPLINE, ENFORCEMENT AND HUMAN RIGHTS -- 8. Regulatory Discipline and the European Convention on Human Rights-A Reality Check -- Daniel F.

Waters and Martyn Hopper -- 9. Holding the Balance-Effective Enforcement, Procedural Fairness and Human Rights -- Thomas A.G. Beazley -- ACCOUNTABILITY -- 10. Regulating the Regulator-A Lawyer's Perspective on Accountability and Control -- Alan Page -- 11. Regulating the Regulator-An Economist's Perspective on Accountability and Control -- Charles A.E. Goodhart -- 12. Public Accountability in the Financial Sector -- Rosa M. Lastra and Heba Shams -- EUROPEAN REGULATION -- 13. Regulating European Markets: The Harmonisation of Securities Regulation in Europe in the New Trading Environment -- Eddy Wymeersch -- 14. The Case for a European Securities Commission -- Gilles Thieffry -- INTERNATIONAL REGULATION -- 15. New Issues in International Financial Regulation -- John Eatwell -- 16. The Financial Stability Forum (FSF): Just Another Acronym? -- Andrew G. Haldane -- 17. The Need for Efficient International Financial Regulation and the Role of a Global Supervisor -- Kern Alexander -- ROLE OF RATING AGENCIES -- 18. The Role of Rating Agencies in Global Market Regulation -- Steven L. Schwarcz -- 19. The Role of Credit Rating Agencies in the Establishment of Capital Standards for Financial Institutions in a Global Economy -- Howell E. Jackson -- TECHNOLOGICAL CHANGE AND REGULATION -- 20. The Challenge of Technology-Regulation of Electronic Financial Markets

Sommario/riassunto

The essays in this work offer a high-level examination of the most important issues facing financial services regulation, and the far-reaching effects of the Financial Services and Markets Act 2000 on the UK financial sector in the context of rapid global change. Taking an interdisciplinary approach the book includes contributions by many distinguished academic authorities on the law and economics of regulation, and also some of the most influential practitioners, regulators and policymakers. As such it provides an authoritative analysis of the underlying issues affecting the broad development of financial services regulation: the objectives of regulation, the responsibilities of the regulated community, the accountability of regulators, the regulation of electronic financial markets and the impact of stock market mergers, regional regulation within Europe, and the development of global financial regulation
