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Titolo	Financial Compliance : Issues, Concerns and Future Directions / / edited by Maria Krambia-Kapardis
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Descrizione fisica	1 online resource (288 pages)
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Soggetti	Business enterprises - Finance Taxation - Law and legislation Accounting Corporate Finance Fiscal Law
Lingua di pubblicazione	Inglese
Formato	Materiale a stampa
Livello bibliografico	Monografia
Nota di contenuto	Chapter 1. Understanding Compliance with Laws and Regulations: A Mechanism-Based Approach; Anthony Bottoms -- Chapter 2. Legitimacy and Regulatory Compliance; Justice Tankebe -- Chapter 3. The Uncertain Professional Status of Compliance; James A. Fanto -- Chapter 4. Compliance: From Soft Law to Hard Law—A view from France; Maria Lancri -- Chapter 5. Living with the new General Data Protection Regulation (GDPR); Mark Foulsham -- Chapter 6. Risk Based Financial Regulation, and Compliance Officer Liability; Stuart Bazley -- Chapter 7. Whistleblowing: The Neglected Facilitator of Compliance; Eva Tsahuridu -- Chapter 8. The Skillset of an Effective Compliance Officer; Maria Krambia-Kapardis -- Chapter 9. Disentangling the Expectation Gap for Compliance Officers; Maria Krambia-Kapardis; Salomi Demetriou and Ioanna Stylianou.
Sommario/riassunto	This book explores the fundamental elements and risks that impact the compliance officer's work. Following a comprehensive understanding of the role of a compliance officer, by engaging with themes of

compliance officers' liability, expectations, risks and effectiveness, it provides practical answers by leading academics and practitioners in the field. This work also draws on how other areas, such as GDPR, financial regulation and whistleblowing, challenges on compliance officers and provides a way forward to convert these challenges into opportunities. The discussion of compliance challenges and practices in Australia, Europe and the United States provides critical insights into the development of compliance in today's financial environment.

Financial Compliance: Issues, Concerns and Future Directions provides an invaluable working resource for academics, practitioners and a general audience interested in understanding and developing an effective compliance culture.
