Record Nr. UNINA9910143554903321 Autore Gray Joanna, L. L.B. Titolo Implementing financial regulation [[electronic resource]]: theory and practice / / Joanna Gray and Jenny Hamilton Chichester, England;; Hoboken, NJ,: John Wiley & Sons, c2006 Pubbl/distr/stampa **ISBN** 1-119-20711-8 1-280-44913-6 9786610449132 0-470-86930-5 Descrizione fisica 1 online resource (287 p.) Collana Wiley finance Altri autori (Persone) HamiltonJenny <1957-> Disciplina 346.41/082 346.4108 Soggetti Financial services industry - Law and legislation - Great Britain Financial services industry - State supervision - Great Britain Financial services industry - Great Britain Electronic books. Lingua di pubblicazione Inglese **Formato** Materiale a stampa Livello bibliografico Monografia Note generali Description based upon print version of record. Nota di bibliografia Includes bibliographical references and index. Nota di contenuto Implementing Financial Regulation; Contents; Preface; Acknowledgements; 1 Regulation in Context; 2 Aligning Risk and Regulation: FSA's Risk-Based Operating Framework; 3 Regulation within the Regulated Firm: Legislation and Rules; 4 Senior Management Regulation: Evidence and Practice Since N2; 5 The Wider Regulatory and Legal Context for Senior Management: 6 Regulation and the Emergence of the Financial Citizen; 7 An Illustrated Critique of Meta Regulation and Concluding Comments; Index Sommario/riassunto Now that the Financial Services and Markets Act 2000 has had a chance to bed itself down and the Financial Services Authority (FSA) is developing its new regulatory toolkit and modus operandi, financial regulation has moved on in interesting directions. This book takes a critical look at the principles and practices behind this regulation, as well as the theory that is involved. This book goes further than a description of the laws that are currently out there, by analysing the

impact and implications of the new financial regulations, making it a