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Autore	Leozappa, Antonio Maria
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CRITERIA AND ALTERNATIVES FOR CONSIDERATION""; ""LIBRARY OF CONGRESS CATALOGING-IN-PUBLICATION DATA""; ""CONTENTS""; ""PREFACE""; ""Chapter 1: SECURITIES AND EXCHANGE COMMISSION: ALTERNATIVE CRITERIA FOR QUALIFYING AS AN ACCREDITED INVESTOR SHOULD BE CONSIDERED""; ""WHY GAO DID THIS STUDY""; ""WHAT GAO RECOMMENDS""; ""WHAT GAO FOUND""; ""ABBREVIATIONS""; ""BACKGROUND""; ""NET WORTH IDENTIFIED AS MOST IMPORTANT CRITERION FOR QUALIFYING AS ACCREDITED INVESTOR""; ""MARKET PARTICIPANTS IDENTIFIED ALTERNATIVE CRITERIA SEC COULD USE IN THE INVESTOR STANDARD""""CONCLUSION""; ""RECOMMENDATION FOR EXECUTIVE ACTION""; ""AGENCY COMMENTS""; ""APPENDIX I. OBJECTIVES, SCOPE, AND METHODOLOGY""; ""APPENDIX II. SUMMARY OF RULES FOR EXEMPTION FROM REGISTRATION UNDER REGULATION D""; ""APPENDIX III. SUMMARY OF RESULTS FROM OURSTRUCTURED INTERVIEWS""; ""Chapter 2: ACCREDITED INVESTORS""; ""WHAT DOES IT MEAN ?O BE AN ACCREDITED INVESTOR?""; ""ARE YOU AN ACCREDITED INVESTOR?""; ""HOW DO I CALCULATE MY NET WORTH?""; ""WHAT IF I AM NO LONGER AN ACCREDITED INVESTOR?""
""ADDITIONAL INFORMATION""""INDEX""

Sommario/riassunto

Under the federal securities laws, a company or private fund may not offer or sell securities unless the transaction has been registered with the SEC or an exemption from registration is available. Certain securities offerings that are exempt from registration may only be offered to, or purchased by, persons who are accredited investors. One principal purpose of the accredited investor concept is to identify persons who can bear the economic risk of investing in these unregistered securities. Of the existing criteria in the Securities and Exchange Commission's (SEC) accredited investor standar
