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Chapter 1 Designing a Sound Regulatory Framework for Financial Services Supervision; Chapter 2 Promoting the Independence of a Financial Services Regulator 19; Chapter 3 The Concept of a Unified Financial Services Regulator; Chapter 4 Frameworks for Unified Financial Services Supervision: Latvia, the Scandinavian Countries, and the United Kingdom; Chapter 5 Conclusion; Appendix 1 The Estonian Financial Supervisory Authority Act, 2001
Appendix 2 The Hungarian Financial Supervisory Authority Act, 1999
Appendix 3 The Latvian Law on the Financial and Capital Market Commission 2001; Select Bibliography; Index

Sommario/riassunto

That different types of financial services and products continue to spring up in the financial sector of many countries is indicative of the changing landscape of the financial services industry globally. Equally important, as indicators of the evolving trajectory of financial services regulation, are increases in the number of countries where universal banking is practiced and in numbers of parent and subsidiary companies providing different types of financial services and products. This book is written against that background. A central thesis pursued in the book is that until there is
